10/588281

IAP11 Rec'd PCT/PTO 04 AUG 2006

Patent Docket No. 424662013400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Andrew C. CLOTHIER et al.

Serial No.: 35 USC 371 app of

PCT/GB05/000296

Filing Date: Concurrently herewith

For: CONTROL OF ELECTRICAL

MACHINES

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with the application; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has

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been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing (424662013400).

Dated: August 4, 2006

Respectfully submitted,

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ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO				Complete if Known		
Suc	sulule for form 1449/P10		•	Application Number	Not yet assigned	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	Concurrently herewith	
				First Named Inventor	Andrew C. CLOTHIER	
		J.,	AI I LIOAIII	Art Unit	Not Yet Assigned 2837	
(Use as many sheets as necessary)			necessary)	Examiner Name	Not Yet Assigned Paul Ip	
Sheet	1	of	1	Attorney Docket Number	424662013400	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code² (if known)	MM-DD-YYYY			
/IP/	1.	4,168,455	9-18-1979	Soeda et al.		
	2.	4,611,157	9-9-1986	Miller et al.		
	3.	4,772,839	9-20-1988	MacMinn et al.		
8	4.	5,724,477	3-3-1998	Webster et al.		
/IP/	5.	6,222,335	4-24-2001	Hiti et al.		

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)		Applicant of Cited Document	or Relevant Figures Appear	T ⁶
/IP/	6.	JP-2003-244981	8-29-2003	Mitsubishi Electric Corp		

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		

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Examiner Signature	/Paul Ip/	Date Considered	3/30/2009
Oignature		100110100100	

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.